



General Assembly

January Session, 2003

Committee Bill No. 5337

LCO No. 4685

Referred to Committee on Government Administration and
Elections

Introduced by:
(GAE)

**AN ACT CONCERNING PERFORMANCE-INFORMED BUDGETING OF
STATE AGENCIES.**

Be it enacted by the Senate and House of Representatives in General
Assembly convened:

1 Section 1. Section 4-67r of the general statutes is repealed and the
2 following is substituted in lieu thereof (*Effective July 1, 2003*):

3 (a) There is created a Connecticut Progress Council. [The]
4 Notwithstanding the provisions of this subsection, the terms of all
5 members of the council who are serving on June 30, 2003, shall expire
6 on said date. On and after July 1, 2003, the council shall consist of the
7 following members: The Lieutenant Governor, the Secretary of the
8 Office of Policy and Management, the Commissioners of Social
9 Services, Transportation, Education and Economic and Community
10 Development; the president pro tempore of the Senate, the speaker of
11 the House of Representatives, the minority leader of the Senate, the
12 minority leader of the House of Representatives, the majority leader of
13 the Senate and the majority leader of the House of Representatives, or
14 their designees; the chairpersons and ranking members of the joint
15 standing committee of the General Assembly having cognizance of
16 matters relating to planning and development; a representative of a

17 nonprofit municipal research organization, a representative of a state-
18 sponsored economic advisory body, a representative of a major labor
19 organization, a representative of a manufacturing concern, a
20 representative of a service-related business and a representative of a
21 financial service company, one appointed by the president pro
22 tempore of the Senate, one by the speaker of the House of
23 Representatives, one by the majority leader of the Senate, one by the
24 majority leader of the House of Representatives, one by the minority
25 leader of the Senate and one by the minority leader of the House of
26 Representatives and six members appointed by the Governor, one
27 representing medical services, one a major public or private university,
28 one a major nonprofit organization, one a state employees' bargaining
29 unit, one an environmental organization and one a business research
30 organization. [The first meeting of the council shall be called on or
31 before November 1, 1993, by the Secretary of the Office of Policy and
32 Management.] On or after July 1, 2003, but not later than November 1,
33 2003, the Secretary of the Office of Policy and Management shall
34 convene a meeting of the council. The council shall elect a chairman
35 from its members at the first meeting held on or after July 1, 2003.

36 (b) [The] Not later than July 1, 2004, and biennially thereafter, the
37 council shall develop a long-range vision for the state and define
38 benchmarks to measure progress to achieve the vision. The vision shall
39 address areas of state concern, including, but not limited to, the areas
40 of economic development, human resources and services, education,
41 health, criminal justice, energy resources, transportation, housing,
42 environmental quality, water supply, food production and natural and
43 cultural resources. In developing the vision the council shall conduct
44 public hearings, public meetings and workshops to insure the
45 participation of a broad cross-section of the state's population. A public
46 hearing shall be held on the vision and proposed benchmarks. The
47 council may establish advisory committees to assist it in accomplishing
48 its duties under this section. Membership on any advisory committee
49 may include persons who are not members of the council.

50 (c) Upon request of the council, a state department or nonpartisan
51 legislative office shall provide the necessary personnel and resources
52 to assist the council in performing its tasks in accordance with this
53 section.

54 (d) On or before [February 1, 1994] July 1, 2004, and biennially
55 thereafter, the council shall submit a report to the General Assembly
56 which describes its activities and makes recommendations concerning
57 the vision and benchmarks.

58 (e) On or before July 1, [1994] 2004, and biennially thereafter, the
59 council shall submit the benchmarks to the Secretary of the Office of
60 Policy and Management and the General Assembly for use in
61 developing and reviewing the budget.

62 (f) On or before February 1, [1996] 2005, the Secretary of the Office
63 of Policy and Management shall submit a report to the joint standing
64 committees of the General Assembly having cognizance of matters
65 relating to planning and development and the appropriations and
66 budgets of state agencies and to the council which recommends a plan
67 for the use of benchmarks in developing the budget for the biennium
68 beginning July 1, [1997] 2005, and for each biennium thereafter.

69 Sec. 2. Section 4-67m of the general statutes is repealed and the
70 following is substituted in lieu thereof (*Effective July 1, 2004, and first*
71 *applicable to the fiscal year commencing July 1, 2005*):

72 (a) Not later than November 1, 2004, and biennially thereafter, each
73 budgeted state agency shall (1) examine the benchmarks established
74 pursuant to section 4-67r, as amended by this act, (2) identify the
75 benchmarks that are within the agency's cognizance, and (3) inform the
76 Secretary of the Office of Policy and Management of such benchmarks.

77 (b) The Secretary of the Office of Policy and Management shall
78 examine the benchmarks identified pursuant to subsection (a) of this
79 section and shall determine which budgeted state agencies have

80 cognizance of each benchmark. If more than one budgeted state agency
81 shares cognizance of a benchmark, the secretary shall determine which
82 agency shall be the lead coordinating agency for that benchmark.

83 [(a)] (c) The Office of Policy and Management, in consultation with
84 each budgeted state agency shall develop, for state budgeting
85 purposes, specific biennial goals and objectives and quantifiable
86 outcome measures, which shall not be limited to measures of activities,
87 for each program, service and state grant administered or provided by
88 such agency. The Secretary of the Office of Policy and Management
89 shall submit an annual report [concerning such] that identifies the
90 benchmarks, the agencies with cognizance of each benchmark, the lead
91 coordinating agency for each benchmark, if any, and the goals,
92 objectives and measures to the joint standing committee of the General
93 Assembly having cognizance of matters relating to appropriations and
94 the joint standing committee having cognizance of matters relating to
95 the agency. For the biennium beginning July 1, [1995] 2005, and for
96 each biennium thereafter, the annual report shall include an evaluation
97 of the impact of each program, service and state contract on the family.

98 [(b)] (d) The goals, objectives and measures developed for each such
99 agency pursuant to subsection [(a)] (c) of this section shall be
100 implemented for the biennium beginning July 1, [1993] 2005. The
101 Office of Policy and Management, in consultation with each [such]
102 lead agency, shall review and revise such goals, objectives and
103 measures for each biennium thereafter.

104 [(c)] (e) For the biennium beginning July 1, [1995] 2005, and for each
105 biennium thereafter, the annual report submitted pursuant to
106 subsection [(a)] (c) of this section shall evaluate the progress of
107 budgeted state agencies in achieving the benchmarks established
108 under section 4-67r, as amended by this act.

109 Sec. 3. Section 4-73 of the general statutes is repealed and the
110 following is substituted in lieu thereof (*Effective July 1, 2004, and first*

111 *applicable to the fiscal year commencing July 1, 2005):*

112 (a) Part II of the budget document shall present in detail for each
113 fiscal year of the ensuing biennium the Governor's recommendation
114 for appropriations to meet the expenditure needs of the state from the
115 General Fund and from all special and agency funds classified by
116 budgeted agencies and showing for each budgeted agency and its
117 subdivisions: (1) A narrative summary describing the agency, the
118 benchmarks for the agency and the agency's responsibilities, if any, as
119 a lead coordinating agency, as determined under section 4-67m, as
120 amended by this act, the specific goals and objectives related to those
121 benchmarks as identified pursuant to section 4-67m, as amended by
122 this act, the Governor's recommendations for appropriations for the
123 agency and a list of agency programs, the actual expenditure for the
124 last-completed fiscal year, the estimated expenditure for the current
125 fiscal year, the amount requested by the agency and the Governor's
126 recommendations for appropriations for each fiscal year of the ensuing
127 biennium; (2) a summary of permanent full-time positions by fund,
128 setting forth the number filled and the number vacant as of the end of
129 the last-completed fiscal year, the total number intended to be funded
130 by appropriations without reduction for turnover for the fiscal year in
131 progress, the total number requested and the total number
132 recommended for each fiscal year of the biennium to which the budget
133 relates.

134 (b) In addition, programs shall be supported by: (1) The statutory
135 authorization for the program; (2) a statement of program objectives,
136 including, but not limited to, the specific goals and objectives
137 identified pursuant to section 4-67m, as amended by this act; (3) a
138 description of the program, including a statement of need, eligibility
139 requirements and any intergovernmental participation in the program;
140 (4) a statement of performance measures by which the
141 accomplishments toward the program objectives can be assessed,
142 which shall include, but not be limited to, an analysis of the workload,
143 quality or level of service and effectiveness of the program, and the

144 quantifiable outcome measures identified pursuant to section 4-67m,
145 as amended by this act; (5) program budget data broken down by
146 major object of expenditure, showing additional federal and private
147 funds; (6) a summary of permanent full-time positions by fund, setting
148 forth the number filled and the number vacant as of the end of the last-
149 completed fiscal year, the total number intended to be funded by
150 appropriations without reduction for turnover for the fiscal year in
151 progress, the total number requested and the total number
152 recommended for each fiscal year of the biennium to which the budget
153 relates; (7) a statement of expenditures for the last-completed and
154 current fiscal years, the agency request and the Governor's
155 recommendation for each fiscal year of the ensuing biennium and, for
156 any new or expanded program, estimated expenditure requirements
157 for the fiscal year next succeeding the biennium to which the budget
158 relates; and (8) an explanation of any significant program changes
159 requested by the agency or recommended by the Governor.

160 (c) There shall be a supporting schedule of total agency
161 expenditures including a line-item, minor object breakdown of
162 personal services, contractual services and commodities and a total of
163 state aid grants and equipment, showing the actual expenditures for
164 the last-completed fiscal year, estimated expenditures for the current
165 fiscal year and requested and recommended appropriations for each
166 fiscal year of the ensuing biennium, classified by objects according to a
167 standard plan of classification.

168 (d) All federal funds expended or anticipated for any purpose shall
169 be accounted for in the budget. The document shall set forth a listing
170 of federal programs, showing the actual expenditures for the last-
171 completed fiscal year, estimated expenditures for the current fiscal
172 year and anticipated funds available for expenditure for each fiscal
173 year of the ensuing biennium. Such federal funds shall be classified by
174 program in each budgeted agency but shall not include research grants
175 made to educational institutions.

176 (e) Part II of the budget document shall also set forth the budget
 177 recommendations for the capital program, to be supported by
 178 statements listing the agency's requests and the Governor's
 179 recommendations with the statements required by section 4-78.

180 (f) The appropriations recommended for the legislative branch of
 181 the state government shall be the estimates of expenditure
 182 requirements transmitted to the Secretary of the Office of Policy and
 183 Management by the Joint Committee on Legislative Management
 184 pursuant to section 4-77 and the recommended adjustments and
 185 revisions of such estimates shall be the recommended adjustments and
 186 revisions, if any, transmitted by said committee pursuant to said
 187 section 4-77.

This act shall take effect as follows:	
Section 1	<i>July 1, 2003</i>
Sec. 2	<i>July 1, 2004, and first applicable to the fiscal year commencing July 1, 2005</i>
Sec. 3	<i>July 1, 2004, and first applicable to the fiscal year commencing July 1, 2005</i>

Statement of Purpose:

To (1) reestablish the Connecticut Progress Council, (2) require the council to develop new benchmarks for use in developing the state budget, (3) require each state agency to identify which benchmarks it has cognizance over, (4) require the Secretary of the Office of Policy and Management to determine which agency shall be the lead coordinating agency for any benchmark that is shared by more than one agency, and (5) use such benchmarks in the budgeting process and require that the Governor's budget document be based on such benchmarks.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

Co-Sponsors: REP. URBAN, 43rd Dist.; REP. DAVIS, 50th Dist.
 REP. SPALLONE, 36th Dist.

H.B. 5337